

Date: _____

Dear Sir/Madam

Re: **2023 FIA Tech Regulatory Protocol – Protocol Supplement Only – Adoption Letter**

We hereby represent that we are an Adopting Party of the 2023 FIA Tech Regulatory Protocol as published by FIA Technology Services, LLC (FIA Tech) on 28 August 2023, 2023 (the Protocol).

The purpose of this letter is to confirm our adoption as an “Adopting Party” to each Protocol Supplement specified as “Applicable” in the table in paragraph 1 below. This letter constitutes, as between each other Adopting Party and us, an Adoption Letter as referred to in the Protocol in respect of such Protocol Supplement(s) specified as applicable below. The definitions and provisions contained in the Protocol and any Protocol Supplement specified as applicable below are incorporated into this Adoption Letter.

1. Protocol and Protocol Supplement(s)

We hereby confirm our adoption as an Adopting Party of each Protocol Supplement marked as “Applicable” in the table below:

Protocol Supplement	Adoption
_____	Applicable

2. Contact Details

All notices to be delivered to us under the Protocol, any Protocol Supplement specified as applicable above and/or with respect to this Adoption Letter should be sent to the following email address(es):

Email Contacts:

We consent to the publication, and disclosure of the contents, of this letter by FIA Tech.

Yours faithfully,

Adopting Party (legal entity name)¹:

Signature:

Signature (if required):

Name (Typed or Printed):

Name (Typed or Printed):

Title (Typed or Printed):

Title (Typed or Printed):

¹ Specify legal name of Adopting Party. If you wish to adopt the Protocol Supplement(s) in respect of a particular branch only, you must specify this branch as the Adopting Party. A separate Adoption Letter is required for each branch you wish to adopt the relevant Protocol Supplement(s) in respect of, save that if you do not specify a branch, you will be deemed to adopt the specified Protocol Supplement(s) in respect of the entity named as the Adopting Party, with the option to act in respect of a given branch of such entity only by specifying such branch in the relevant Transition Notice.

If you are an Agent and act on behalf of multiple Principals, you may indicate the following in the signature block: “[Investment/Asset Manager/Trader], acting on behalf of all the Principals listed in the relevant Original GUA entered into between it (as Agent) and the other relevant Adopting Parties”. If such a signature block is used, a separate Adoption Letter for each Principal does not need to be submitted to FIA Tech and no specific names of Principals will be publicly disclosed on the FIA website in connection with the relevant Protocol Supplement(s).