

Date: _____

Dear Sir/Madam

Re: 2023 FIA Tech Regulatory Protocol – Protocol and Protocol Supplement – Adoption Letter

The purpose of this letter is to confirm our adoption as an “**Adopting Party**” to the 2023 FIA Tech Regulatory Protocol as published by FIA Technology Services, LLC (**FIA Tech**) on August 28, 2023 (the **Protocol**) and each Protocol Supplement specified as “Applicable” in the table in paragraph 3 below. This letter constitutes, as between each other Adopting Party and us, an Adoption Letter as referred to in the Protocol. The definitions and provisions contained in the Protocol and any Protocol Supplement specified as applicable below are incorporated into this Adoption Letter.

1. Specific terms

We hereby represent that this is the only Adoption Letter submitted by us to FIA Tech in respect of the Protocol and/or any Protocol Supplement.

2. Appointment as Agent and Release

We hereby appoint FIA Tech as our agent for the limited purposes of the Protocol and each Protocol Supplement specified as applicable below and accordingly we waive, and hereby release FIA Tech from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adoption Letter or our adoption of the Protocol or any Protocol Supplement specified as applicable below or any actions contemplated as being required by FIA Tech.

3. Protocol and Protocol Supplement(s)

We hereby confirm our adoption as an Adopting Party of the Protocol and of each Protocol Supplement marked as “Applicable” in the table below:

Protocol Supplement	Adoption
BRRD Supplement	Applicable

4. Contact Details

All notices to be delivered to us under the Protocol, any Protocol Supplement specified as applicable above and/or with respect to this Adoption Letter should be sent to the following email address(es):

Email Contacts:

We consent to the publication, and disclosure of the contents, of this letter by FIA Tech.

Yours faithfully,

Adopting Party (legal entity name)¹:

Signature:

Signature (if required):

Name (Typed or Printed):

Name (Typed or Printed):

Title (Typed or Printed):

Title (Typed or Printed):

¹ Specify legal name of Adopting Party. If you wish to adopt this Protocol and/or any Protocol Supplement in respect of a particular branch only, you must specify this branch as the Adopting Party. A separate Adoption Letter is required for each branch you wish to adopt the Protocol and/or any Protocol Supplement in respect of, save that if you do not specify a branch, you will be deemed to adopt this Protocol and/or any specified Protocol Supplement(s) in respect of the entity named as the Adopting Party, with the option to act in respect of a given branch of such entity only by specifying such branch in the relevant Transition Notice.

If you are an Agent and act on behalf of multiple Principals, you may indicate the following in the signature block: “[Investment/Asset Manager/Trader], acting on behalf of all the Principals listed in the relevant Original GUA entered into between it (as Agent) and the other relevant Adopting Parties”. If such a signature block is used, a separate Adoption Letter for each Principal does not need to be submitted to FIA Tech and no specific names of Principals will be publicly disclosed on the FIA website in connection with this Protocol and/or any Protocol Supplement.